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March 1, 2013

## **VIA ECF AND FEDERAL EXPRESS**

Hon. Patty Shwartz, U.S.M.J. United States District Court for the District of New Jersey Martin Luther King, Jr. Federal Building & U.S. Courthouse 50 Walnut St., Newark, NJ 07101

Re: Patient Care Associates, LLC a/s/o Patrica M. v. Automatic Data Processing, Inc. et al., Civ. No. 12-3718 (DRD/PS)

Dear Judge Shwartz:

This firm represents Defendant Automatic Data Processing, Inc. (hereinafter "ADP") in the above-referenced matter. Pursuant to the Court's Scheduling Order, on February 8, 2013, ADP filed its pending Motion for Summary Judgment. (Doc. No. 16). In support of that Motion, ADP filed the Confidential Certification of Michael C. McNamara with Confidential Exhibits 1-7 (hereinafter the "Confidential Exhibits") annexed thereto under temporary seal. (Doc. No. 17). ADP submits this letter brief in support of its Motion to Seal the Confidential Exhibits permanently Civil Rule 5.3(c). **Plaintiff** motion. pursuant Local does not oppose this

March 1, 2013

Page 2

I. Statement of Relevant Procedural History and Facts

Since the filing of this action, discovery has taken place that involves the exchange of

documents related to the named Plan Subscriber, Patricia M., which contain personal health

information deemed confidential pursuant to the Standards for Privacy of Individually Identifiable

Health Information, 45 C.F.R. parts 160 and 165, promulgated pursuant to the Federal Health

Insurance Portability and Accountability Act of 1996 ("HIPAA"). Because of that, on January 18,

2013, the parties requested the entry of a Discovery Confidentiality Order. (Doc. No. 14). Your Honor

entered a Discovery Confidentiality Order on January 23, 2013. (Doc. No. 15)

The Discovery Confidentiality Order describes the types of confidential information that the

parties may seek to shield from disclosure and thus, enables the parties to designate certain information

and documents exchanged during discovery as "Confidential Information," "Confidential Health

Information," or "Confidential Information – Attorneys' Eyes Only." (See Certification of Patrick J.

Murphy, III ("Murphy Cert."), Exhibit A at ¶¶ 1-3). The Discovery Confidentiality Order provides that

"Confidential Health Information," which is a subset of "Confidential Information," includes, inter

alia, "information . . . that identifies an individual or subscriber in any manner and relates to the past,

present, or future care, services, or supplies relating to the physical or mental health or condition of

such individual or subscriber, the provision of health care to such individual or subscriber, or the past,

present, or future payment for the provision of health care to such individual or subscriber." (See

Murphy Cert., Exhibit A at ¶ 2). The Discovery Confidentiality Order mandates that any "[p]arty

seeking to seal information submitted in connection with a request for non-discovery relief shall file a

formal motion in accordance with L. Civ. R. 5.3." (See Murphy Cert., Exhibit A at ¶ 10). Thus, this

March 1, 2013

Page 3

motion is being filed by ADP in accordance with its obligations under the Discovery Confidentiality

Order and L. Civ. R. 5.3(c).

The Confidential Exhibits contain the confidential health information of Patricia M. All of this

information falls within the designation of "Confidential Health Information" set forth within the

Discovery Confidentiality Order. (See Murphy Cert., Exhibit A at ¶ 2). It is ADP's understanding that

substantial and specific harm would result if the confidential personal health information of Patricia M.

is disclosed in contravention of the terms of the Discovery Confidentiality Order. It is also ADP's

understanding that the confidential personal health information of Patricia M. is protected under

HIPAA. Furthermore, the parties have an expectation that the "Confidential Health Information,"

produced in this litigation pursuant the Discovery Confidentiality Order will remain confidential until

such time as this Court determines otherwise. Thus, ADP believes the same "good cause" that existed

for the entry of the Discovery Confidentiality Order exists for entry of the Order sealing the

Confidential Exhibits.

In accordance with Your Honor's rules, ADP has prepared a detailed chart identifying each

of the documents that ADP seeks to seal, in whole or in part, along with the following explanations

for each:

(a) the legitimate private or public interests warranting the relief sought;

(b) the clearly defined and serious injury that would result if the relief sought is not

granted;

(c) why a less restrictive alternative sought is not available; and

(d) the position of the adverse party concerning the request to seal, and why the

request to seal is opposed.

(See Murphy Cert., Exhibit B). Further, ADP has prepared a detailed proposed form of Order that

lists with specificity each entry that ADP seeks to seal.

March 1, 2013

Page 4

II. Legal Argument

Pursuant to Local Civil Rule 5.3(c), confidential information or materials submitted with

court filings may be sealed, or otherwise restricted from public access upon a showing of good

cause. See Pansy v. Borough of Stroudsburg, 23 F.3d 772, 786 (3d Cir. 1994). Whether good cause

exists turns on an examination of the "legitimate private or public interests which warrant the relief

sought," the "clearly defined and serious injury that would result if the relief sought is not granted,"

and upon a determination that "less restrictive alternative[s] to the relief sought is not available."

L.Civ.R. 5.3(c)(2).

In this action, the Court previously entered a Discovery Confidentiality Order to govern the

disclosure of confidential information that is not in the public domain and that contains, inter alia,

confidential personal health information. (See Murphy Cert., Exhibit A). In doing so, the Court

found that there was good cause to protect the disclosure of such confidential personal information,

specifically "Confidential Health Information." (See Murphy Cert., Exhibit A at ¶ 2). For the same

reasons that this Court entered the Discovery Confidentiality Order to protect and control the

disclosure of confidential information during the litigation, the "Confidential Health Information"

contained the Confidential Exhibits should likewise be protected from the public. There is no

question in this case that the Confidential Exhibits disclose Patricia M.'s "Confidential Health

Information" as defined by the Discovery Confidentiality Order. (*Id.*)

A. The "Confidential Health Information" in the

**Confidential Exhibits Should Be Sealed** 

March 1, 2013

Page 5

As detailed fully in ADP's chart, the Confidential Exhibits contain the "Confidential Health

Information" of Patricia M. (See Murphy Cert., Exhibit B). Such information is deemed

confidential pursuant to the Standards for Privacy of Individually Identifiable Health Information,

45 C.F.R., parts 160 and 165, promulgated pursuant to HIPAA. Specifically, Section 164.514

includes a list of "identifiers of the individual or of relatives, employers, or household members of

the individual" which are to be excluded from any disclosures. 45 C.F.R. 164.514. The categories

relevant to this motion include:

Names;

• All geographic subdivisions smaller than a State, including street address, city,

county, precinct, zip code, and their equivalent geocodes;

• Dates related to an individual, including birth date and dates of service;

• Telephone numbers, fax numbers, and electronic mail addresses;

• Social security numbers;

Medical record numbers;

Health plan beneficiary numbers;

Account numbers; and

• Any other unique identifying number, characteristic, or code.

(See generally Murphy Cert., Exhibit B). If not protected by a sealing order, the confidential health

information of Patricia M. will be placed in the public domain without any protections. Thus, there

is a substantial public interest in protecting the private right of confidentiality of the protected health

information of Patricia M. See Frazier v. N.J. State Parole Bd., 2009 U.S. Dist. LEXIS 23572

(D.N.J. Mar. 25, 2009) (granting motion to seal mental health and similar records); Mt. Holly

Citizens in Action v. Twp. Of Mount Holly, 2009 WL 387753, at \*5 fn. 7 (D.N.J. Feb. 13, 2009)

March 1, 2013

Page 6

(granting motion to seal sensitive personal information of non-parties); Metropolitan Life v.

Bennett, 2008 U.S. Dist. LEXIS 36394 (D.N.J. May 1, 2008) (sealing portions of complaint

outlining medical records).

B. There Are No Less Restrictive Alternatives To Sealing

Finally, there are no alternatives that are less restrictive than sealing the "Confidential Health

Information" contained in the Confidential Exhibits, as ADP needs to rely on this information to

fully support its Motion for Summary Judgment. (Doc. No. 16). In addition, entry of the proposed

sealing Order will not unduly restrict the public's right to information, as ADP will be filing

redacted versions of the Confidential Exhibits. Specifically, as set forth fully in ADP's chart, as well

as in the proposed sealing Order, any redactions will be limited to information that has been designated

by the parties as "Confidential Health Information," which information falls within the confines of

information that the Court may properly restrict public access pursuant to L. Civ. Rule 5.3 and the case

law governing ADP's motion to seal. See supra, Section II(A). Thus, there is no question that ADP's

filing of redacted versions of the Confidential Exhibits is the least restrictive alternative to protect

"Confidential Health Information" under the Discovery Confidentiality Order and pursuant to Fed. R.

Civ. P. 26(c) and L. Civ. R. 5.3(c).

Hon. Patty Shwartz, U.S.M.J. March 1, 2013

Page 7

III. Conclusion

For all of the reasons stated herein, ADP respectfully requests that the Court enter the enclosed Order pursuant to Rule 5.3(c) granting the Motion to Seal the Confidential Exhibits, as

outlined in the proposed form of Order submitted herewith.

Respectfully submitted,

s/ Patrick J. Murphy, III

Patrick J. Murphy, III

cc: Andrew R. Bronsnick, Esq. (Via ECF and Email)